

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

2003

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2003 calendar year, or tax year beginning

B Check if applicable:

- Address change
- Name change
- Initial return
- Final return
- Amended return
- Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization

ALTA BATES SUMMIT MEDICAL CENTER

Number and street (or P.O. box if mail is not delivered to street address)

3012 SUMMIT STREET

City or town

OAKLAND

State or country

CA

Room/suite

ZIP + 4

94609

D Employer identification number

94-1196176

E Telephone number

(916) 286-6665

F Accounting method: Cash Accrual

Other (specify) **▶**

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H and **I** are not applicable to section 527 organizations.

H(a) Is this a group return for affiliates? Yes No

H(b) If "Yes," enter number of affiliates **▶**

H(c) Are all affiliates included? Yes No

(If "No," attach a list. See instructions.)

H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No

I Group Exemption Number **▶**

G Website: **▶ www.sutterhealth.org**

J Organization type (check only one) 501(c) (3) (insert no.) 4947(a)(1) or 527

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS; but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

M Check if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF).

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12 **▶** **746,804,366**

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See page 18 of the instructions.)

1 Contributions, gifts, grants, and similar amounts received:				
a	Direct public support	1a		
b	Indirect public support	1b	6,346,204	
c	Government contributions (grants)	1c		
d	Total (add lines 1a through 1c) (cash \$ <u>3,822,566</u> noncash \$ <u>2,523,638</u>)	1d		6,346,204
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2		729,489,629
3	Membership dues and assessments	3		
4	Interest on savings and temporary cash investments	4		551,510
5	Dividends and interest from securities	5		2,089,960
6a	Gross rents	6a	5,254,321	
b	Less: rental expenses	6b	2,750,772	
c	Net rental income or (loss) (subtract line 6b from line 6a)	6c		2,503,549
7	Other investment income (describe ▶)	7		
8a	Gross amount from sales of assets other than inventory SEE STMT LN 8 (B)	(A) Securities		(B) Other
b	Less: cost or other basis and sales expenses	8a	64,202	
c	Gain or (loss) (attach schedule)	8b	11,920	
d	Net gain or (loss) (combine line 8c, columns (A) and (B))	8c	52,282	
8d		8d		52,282
9	Special events and activities (attach schedule). If any amount is from gaming, check here <input type="checkbox"/>			
a	Gross revenue (not including \$ _____ of contributions reported on line 1a)	9a		
b	Less: direct expenses other than fundraising expenses	9b		
c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c		
10a	Gross sales of inventory, less returns and allowances	10a		
b	Less: cost of goods sold	10b		
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c		
11	Other revenue (from Part VII, line 103)	11		3,008,540
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12		744,041,674
13	Program services (from line 44, column (B))	13		659,914,536
14	Management and general (from line 44, column (C))	14		43,873,313
15	Fundraising (from line 44, column (D))	15		
16	Payments to affiliates (attach schedule)	16		
17	Total expenses (add lines 16 and 44, column (A))	17		703,787,849
18	Excess or (deficit) for the year (subtract line 17 from line 12)	18		40,253,825
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19		85,343,135
20	Other changes in net assets or fund balances (attach explanation) SEE STATEMENT LINE 20	20		7,594,812
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21		133,191,772

For Paperwork Reduction Act Notice, see the separate instructions.

ALTA BATES SUMMIT MEDICAL CENTER
 EIN: 94-1196176
 FORM 990
 FOR THE YEAR END DECEMBER 31, 2003

STATEMENT LINE 75

OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES PAID BY RELATED ENTITIES.

Name	Related Entity Paying Compensation	Organization Titles	Base Compensation	Annual Performance Award [c]	Long-Term Performance Award [d]	Deferred Comp. Vesting in 2003	Contributions to Employee Benefit Plan		Expense Account
							Pension & Other Benefits	Non-Vested Def. Comp.	
Gordon Hunt	Sutter Health	Sr. VP & Chief Medical Officer Sutter Health Trustee Alta Bates Summit Medical Center Trustee Berkeley Long-Term Care Company Asst. Secretary & Trustee Sutter VNA & Hospice	448,870	67,560	237,150	0	24,351	39,100 [b]	472
Warren Kirk	Sutter Health	CEO & Trustee Alta Bates Summit Medical Center CEO, Chair & Trustee Alta Bates Health System CEO & Trustee Berkeley Long-Term Care Company Trustee Alta Bates Summit Foundation Director Adolescent Treatment Centers, Inc. VP & Director Adolescent Treatment Centers, Inc. Regent Samuel Merritt College	346,633	63,000	105,400	19,891 [a]	22,546	16,700 [b]	3,692
Robert Petrina	Sutter Health	CFO Alta Bates Summit Medical Center CFO Alta Bates Health System CFO Berkeley Long-Term Care Company CFO Alta Bates Summit Foundation Director Adolescent Treatment Centers, Inc.	262,755	22,000	0	0	18,370	17,900 [b]	52,637

[a] Vested deferred compensation represents the amount vesting in 2003. The principal contributions by Sutter Health into an investment account were previously reported as non-vested deferred compensation.

[b] This amount has been set aside for the employee until he/she is legally entitled to the amount. Once the employee has met all vesting requirements the amount is included as vested deferred compensation.

[c] The employee received an annual performance award in the year 2003. This award reflects organization performance, including quality and network integration over a one-year period of time.

[d] The employee received a long-term performance award in the year 2003. This award reflects organization performance, including quality and network integration over a three-year period of time.

The compensation reported above represents the total compensation of the named individual in return for all services provided to Sutter Health (94-2788907) and its related organizations. The identity of the entity that actually provided compensation is listed under the "Related Entity" column.